

PCNA - A Cell Proliferation Marker in Vocal Chord Cancer. Part I: Premalignant Laryngeal Lesions

LORENZO D. PIGNATARO¹, GUIDO BROICHI¹, ANNA-MARIA LAVEZZI², BRUNA BIONDO²
and FRANCESCO OTTAVIANI¹

¹*1st Department of Otorhinolaryngology, University of Milan, IRCCS Ospedale Maggiore - Policlinico
(Chairman: Prof. A. Ottaviani);*

²*Institute of Morbid Anatomy and Histology, University of Milan, Milan, Italy
(Chairman: Prof. L. Matturri)*

Abstract. *Laryngeal hyperkeratotic lesions can progress to fully developed malignant carcinoma in some cases. These premalignant lesions are proliferative disorders whose potential for further tumour progression is perhaps difficult to assess by mere histology. Immunostaining with PCNA, a protein correlated with cell proliferation, has been used to study tissue behavior in 30 cases of premalignant laryngeal vocal chord lesions treated by epithelial stripping in microlaryngoscopy, 15 of whom had no progression and 15 had recurrence and final development of full malignancy. The results showed a statistically significantly higher PCNA-index in the cases which underwent further tumour progression towards malignancy. PCNA testing may thus be suggested as a marker for tumour progression potential and help in determining clinical treatment choices.*

The hyperplastic hyperkeratotic proliferative mucosal changes, frequent in the human larynx (1), form a heterogeneous group of pathological lesions with different potential of progression and clinical course. It has been known since the beginning of the century (2) that precancerous lesions can undergo progressive cellular changes towards malignancy through several steps of progressive cellular atypia (3,4,5). This process can be favoured by carcinogenic agents (6,7,8) or be apparently spontaneous (9). A clear retrospective evaluation of the published data concerning the progression of vocal chord premalignancies is made difficult due to the impossibility of clear matching of the same entity in different and sometimes quite generic classifications (10), and due to different orientations as regards treatment. Up to now a definitive clas-

sification of premalignant lesions has not been agreed upon. The most recent agreement is to use the LIN (laryngeal intraepithelial neoplasia) classification (11), which has been derived from the classification of premalignant lesions of the uterine cervix, substituting the previous classification by Kleinsasser and Hellquist (12,13).

These premalignant lesions show a low mitotic index and most of them behave quite benignly; stripping of the vocal chord epithelium in microlaryngoscopy (14) is all that is needed for definitive treatment (15). Left alone, perhaps some of them will eventually progress to epithelial carcinoma, and this justifies the surgical treatment. In some cases the treatment may be insufficient and after some time, from a month up to several years, hyperkeratosis will recur and may progress to regular epithelial carcinoma.

This great variability in the progression potential of otherwise apparently equal lesions documents a still incomplete understanding of the intimate mechanisms that make the cells progress towards malignancy and the prognostic factors used today still remain subjective and uncertain.

In order to assess further the progressive potential of premalignant lesions, many markers of cell behaviour have been studied. Cell proliferation is considered a general marker of the degree of malignant deviation of tissue, as well as oncogene expression (16), specific cytokeratines (17) and DNA changes seen by flowcytometry (18). Perhaps no truly reliable index has been found up to now. Specifically in premalignant hyperkeratotic lesions and laryngeal intraepithelial neoplasms classified as LIN II and LIN III, which show a large variability in their potential to develop in full vocal chord cancer, no parameter has proven to be a reliable predictive marker for the potential of further progression.

The problem of prediction of further cell changes towards greater invasiveness extends also to the already malignant lesions. Once carcinoma has established itself on the vocal chord, its further potential for recurrence after radical surgery is so far not predictable. While in most cases subperichondral

Correspondence to: Lorenzo D. Pignataro, M.D., I.Clinica ORL - Ospedale Maggiore di Milano, Via Sforza 35, 20121 Milano, Italy.

Key Words: Tumour progression, premalignancy, vocal chord, immunohistochemistry.

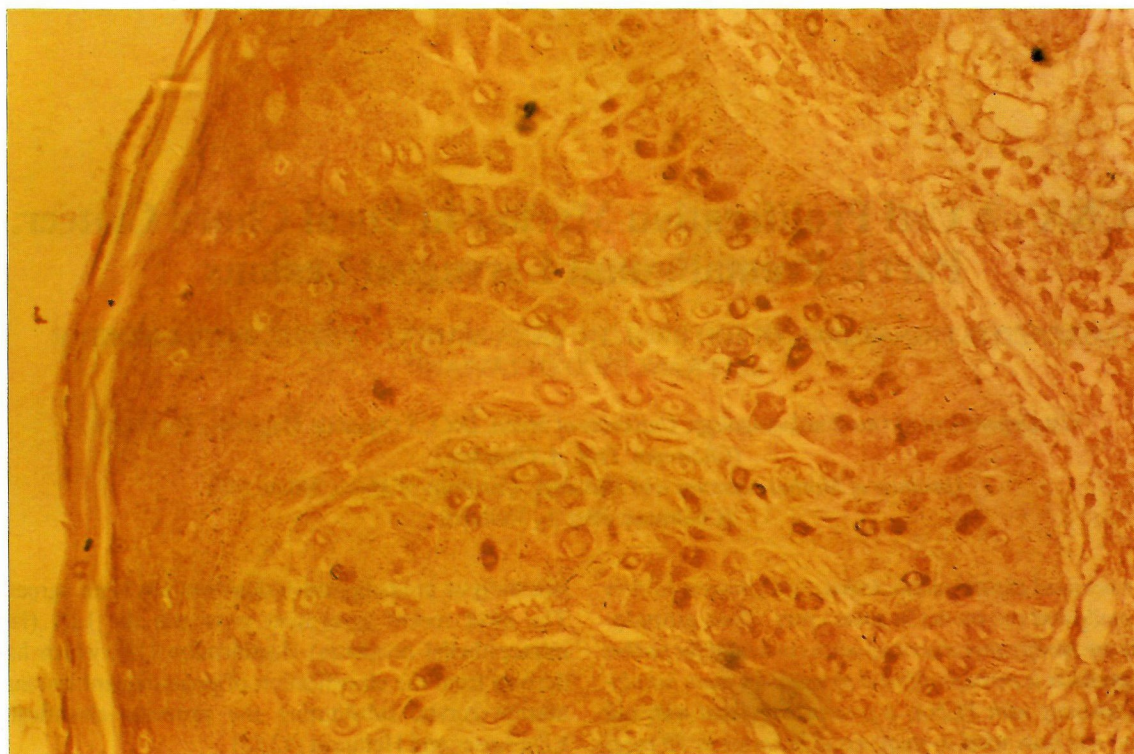


Figure 1. Tissue positivity to PCNA/Cyclin.

chordectomy (19), or-according to some protocols-radiotherapy (20,21), alone prove to be curative in some cases, recurrence can be seen. In these cases the prognosis drops dramatically and the tumours which show themselves able to recur once demonstrate a high potential for further recurrence and final death of the patient (22).

The possibility of testing the potential of a malignant or premalignant tissue to progress further could help to determine differentiated treatment protocols, more aggressive than the standard in selected cases of high malignant potential. Further markers for the mitotic potential of the cells could fill this void. Recently, proliferating cell nuclear antigen (PCNA), a 36kDalton protein of delta-DNA-polymerase (23) expressed mainly in the S-phase of cell replication (24), has been studied for this purpose and specific antigens for immunohistochemistry are now commercially available.

Premalignant laryngeal vocal chord tissue has been subjected to analysis for this nuclear antigen, in order to assess the prognostic value of PCNA in this lesion.

Materials and Methods

From the LIN lesions treated in the 1st ENT Department of Milan University 30 cases of intraepithelial LIN II vocal chord lesions were selected and examined. All cases were treated surgically by epithelial stripping in microlaryngoscopy according to Kleinsasser with complete removal of the pathologic tissue. The patients were subjected to a 5 year follow up. 15 cases that did not show any further problem and 15 which developed recurrence with full vocal chord carcinoma were examined.

Table I. Analysis of PCNA-reactivity percentages of hyperkeratosis and vocal chord carcinoma.

	Range	Mean	Median
non evolution	2.50-7.20	5.05	4.73±1.58
with evolution	3.09-28.0	13.59	15.98±8.59

Variance analysis (F-test): F=15.3 p<0.0001

Table II. Comparison between reactivity in premalignancies that would finally develop in carcinoma and vocal chord carcinoma.

	Range	Mean	Median
pre malignancy with evol.	3.09-28.0	13.59	15.98±8.59
carcinoma	8.10-36.80	25.58	24.18±8.14

Variance analysis (F-test): F=5.76 p<0.025

The stripped tissue was fixed in 10% buffered formalin. Paraffin sections of 4 micrometers from the same block used for routine histological examination were air-dried overnight at room temperature and immunostained with the monoclonal antibody PC10 (Dakopatts UK Ltd.) at a dilution of 1:200, using an immunoperoxidase method (ABC complex) with light hematoxylin counterstaining. All immunostained sections were examined using a x 100 objective. A minimum of 1000 cells in random fields were counted in every case. Control samples were

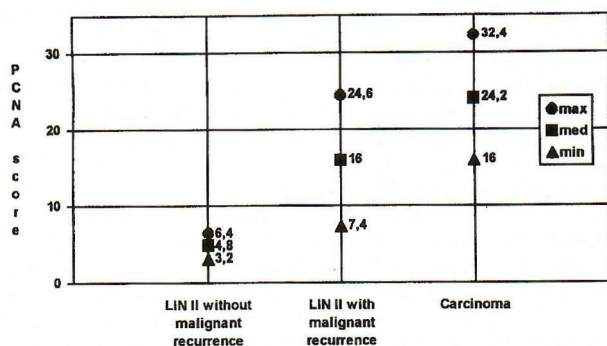


Figure 2. Variance test analysis (F-test) for PCNA reactivity in non evolutive premalignancy, evolutive premalignancy and carcinoma.

obtained with the same technique on normal tonsillar tissue, obtained by biopsy.

The PC10-index was defined as the number of cells with strong unequivocal nuclear staining, corresponding to cells in their S-phase and the results were expressed as percentage of the total number of cells counted. The specimens were blind tested, no clinical data were known to the pathologist and immunohistochemistry was done without knowledge of the results of routine histology. The results were subjected to statistical evaluation with the F variance test (25).

Results

All examined fields showed PCNA immunoreactivity (Figure 1). PCNA/cyclin reactivity lay in a range between 2.5% and 28.00%. The immunoreactivity from the 15 specimens coming from patients who later developed full carcinoma was significantly greater than that from the other 15, who did not show any further degeneration (Table I). For comparison, the PCNA test was repeated on the tissue of the epithelial neoplasms that arose in the 15 patients who showed tumor progression, and the index was again significantly greater (Table II) than in the original premalignant lesion. Overall, the PCNA-index was significantly lower in the hypercheratotic lesions which did not progress to malignancy compared to those which did and to carcinoma that arose from these lesions.

Discussion

Tumor progression is an extremely important field in evaluation of treatment of precancerous lesions. It has always been known that the so-called precancerous lesions of the lining epithelia can show a very large array of progressive behaviour, ranging from no progression at all to highly aggressive invasive neoplasia (26,27,28,29,30). Local recurrence of the premalignant lesion is a frequent event, resembling the first step toward local malignancy (31,32,33,34). Progression towards fully expressed carcinoma can be seen in the remaining premalignant tissue as well as in the surrounding, apparently normal, mucosa, presenting itself directly as a malignant neoplasm at recurrence (35). Comparative oncology shows us

even more clearly that the passage from a premalignant lesion towards carcinoma is a continuous phenomenon in which many intermediate steps are present (36,37,38). While treatment of carcinoma as a lifethreatening disease offers a large array of options, from conservative to highly destructive, the treatment of precancerous lesions, and especially those which do not show a great tendency to tumor progression, must be more conservative.

At the moment no proven index is available to predict the progression of a precancerous lesion to malignant neoplasia. We know only that the probability of degeneration rises with the degree of cellular abnormalities. The percentages shown in the literature depend not only on the accuracy of histology, but also on follow-up and types of treatment of the primary non malignant lesion. Furthermore, the persistence of the primary risk factors may favor further progression of the remaining epithelia towards malignancy.

A reliable index for the progressive potential of these lesions would be of great importance. Our results point in this direction, by suggesting a reliable marker for tumor progressive behaviour in laryngeal intraepithelial neoplasia. A high PCNA-index in otherwise equal hypercheratotic LIN lesions was shown to be linked to a high probability of further malignant progression. This findings may justify a differentiated clinical treatment with more aggressive protocols in selected cases.

Acknowledgements

We wish to thank Prof. H.E. Kaiser for reviewing the manuscript and giving us many stimulating and highly valuable suggestions.

References

- 1 Batsakis JG: Tumors of the Head and Neck - Clinical and Pathological Considerations (2nd ed). Williams and Wilkins, Baltimore-London 1979.
- 2 Cracovaner AJ: Hypercheratosis of the Larynx. Arch Otolaryngol 70: 287-191, 1959.
- 3 Gallo A, Gallo P, De Vincentiis M and Marcotullio D: La cheratosi laringea. Tipizzazione istologica e correlazioni cliniche. Acta Otorhinol Ital 5: 133-144, 1985.
- 4 Gabriel CE and Jones DG: Hypercheratosis of the Larynx. J Laryngol Otol 76: 947-957, 1962.
- 5 Kaiser H: The seven types of causes of neoplastic growth: an organismic view. In: Kaiser HE (ed), Cancer Growth and Progression. Chapter 3, Vol. 2: (ed Weisburger EK) Mechanisms of Carcinogenesis, Kluwer Academic Publ., Dordrecht-Lancaster-Boston, 1989.
- 6 Averbach O, Hammond EC, Garfield L: Histologic changes in the larynx in relation to smoking habits. Cancer 25: 92-96, 1970.
- 7 Rothman K and Keller A: The effect of joint exposure to alcohol and tobacco on risk of cancer of the mouth and oropharynx. J Chron Dis 25: 711-716, 1972.
- 8 Wynder EL: Environmental factors in cancer of the larynx. A second look. Cancer 38: 1591-1601, 1976.
- 9 Kaiser H: Malignant Transformation. In: Kaiser (ed) Cancer Growth and Progression, Chapter 2 Vol 3: (ed. Weisburger EK) Mechanisms of Carcinogenesis. Kluwer Acad. Publ., Dordrecht-Lancaster-Boston, 1989.

- 10 Ferlito A: Premalignant lesions. 2nd World Congress on Laryngeal Cancer, abstr. 0421, Sydney, Feb. 20-24, 1994.
- 11 Friedman I: Precancerous lesions of the larynx. *Can J Otolaryngol* 22: 311-352, 1987.
- 12 Kleinsasser O: Uber die verschiedenen Formen der Plattenepithelhyperplasien im Kehlkopf und ihre Beziehungen zum Carcinom. *Archiv Ohren-Nase-Hals Heilk* 174: 190-313, 1959.
- 13 Hellquist H, Lundgreen J and Oloffson J: Hyperplasia, keratosis, dysplasia and carcinoma *in situ* of the vocal chords. A follow-up study. *Clin. Otolaryngol* 7: 11-20, 1982.
- 14 Kleinsasser O, Glanz H and Kimmeh T: Endoscopic surgery in glottic carcinoma [Endoskopische Chirurgie bei Stimmlippenkarzinomen], *HNO* 36: 412-416, 1988.
- 15 Hojsct PE, Nielsen VM and Palvio D: Premalignant lesions of the larynx. A follow-up study. *Acta ORL StockhJ* 107: 150-155, 1989.
- 16 Dolletti R, Dognon C and Maestro R: PS3 overexpression is an early event in the development of human squamous cell carcinoma of the larynx: genetic and prognostic implications. *Int J Cancer* 52: 178, 1992.
- 17 Coltrera MD, Zarbo RJ, Sakr WA and Gown AM: Markers for dysplasia of the upper aerodigestive tract. Suprabasal expression of the PCNA P53 and CK19 in alcohol fixed embedded tissue. *Am J Pathol* 141: 817, 1992.
- 18 Goldsmith MM, Cresson DH and Amold LA: Part I: DNA flow cytometry as a prognostic indicator in head and neck cancer. *Otolaryngol Head Neck Surg* 96: 307-318, 1987.
- 19 Ottaviani A, Ottaviani F and Broich G: II secondarismo neoplastico dopo chirurgia laringea. *In: Cortesina G* (ed), *Le recidive loco-regionali dei tumori del distretto cervico-facciale*. Università di Torino, Torino, 1992, pp. 299-310.
- 20 Fein DA, Mendenhall WM, Parsons JT, Stringer SP, Cassisi NJ and Million RR: Carcinoma *in situ* of the glottic larynx: the role of radiotherapy. *Int J Radiat Oncol Biol Phys* 27: 379-84, 1993.
- 21 Small W Jr, Mittal BB, Brand WN, Shetty RM, Rademaker AW, Beck GG and Hoover SV: Role of radiation therapy in the management of carcinoma *in situ* of the larynx. *Laryngoscope* 103: 663-7, 1993.
- 22 Broich G, Capaccio P and Ottaviani F: Carcinoma of the Vocal Chord. Results after Subperichondral Cordectomy. *In Vivo* 8: 703-706, 1994.
- 23 Fairman MP: DNA polymerase /PCNA: actions and interactions. *J Cell Science* 95: 1-4, 1990.
- 24 Bravo R, Frank R, Blundell PA, MacDonald M, Bravo M: Cyclin/PCNA is the auxiliary protein of DNA polimerase delta. *Nature* 326: 517-518, 1987.
- 25 Armitage P: *Statistical Methods in Medical Research*. New York, Wiley and Sons, 1971.
- 26 Kaiser HE: Cancer growth and progression in the framework of comparative oncology - a new approach to cancer therapy; *Anticancer Res* 11: 1453-1468, 1991.
- 27 Kaiser HE: Transformation to malignancy. *In: Kaiser HE* (ed), *Neoplasms - Comparative Pathology of Growth in Animals, Plants and Man*. William & Wilkins, Baltimore, 1981, pp. 11-39.
- 28 Kaiser HE: Changes in the course of cancer. *In Vivo* 6: 467-475, 1992.
- 29 Kaiser HE: Malignant Transformation; in "Cancer Growth and Progression", ed. H.E.Kaiser, Vol 3: *Mechanisms of Carcinogenesis*, Chapter 2; Kluwer Acad. Publ., Dordrecht-Lancaster-Boston, 1989.
- 30 Kaiser HE: The influence of the body structure on tumor development. *In: Kaiser HE* (ed), *Cancer Growth and Progression*. Chapter 7, Vol.1: *Fundamental Aspects of Cancer* (Goldfarb RH,ed.), Kluwer Academic Publishers Dordrecht-Lancaster-Boston, 1989, pp.43-46.
- 31 Kaiser HE: Local recurrence. *In: Kaiser HE* (ed), *Cancer Growth and Progression*, Chapter 7, Vol.7:(ed Brunson KW) *Local Invasion and Spread of Cancer*, Kluwer Academic Publ., Dordrecht-Lancaster-Boston 1989, pp. 187-194.
- 32 Kaiser HE: Characteristics and pattern of direct tumor spreading. *In: Kaiser HE* (ed), *Cancer Growth and Progression*, Chapter 1, Vol.7:(ed Brunson KW) *Local Invasion and Spread of Cancer*, Kluwer Academic Publ. Dordrecht-Lancaster-Boston, 1989, pp.1-12.
- 33 Kaiser HE: Comparison of direct spreading and local recurrence of neoplasm. *In Vivo* 8: 91-95, 1994.
- 34 Broich G: Comparison of the progression of selected, topographically particular, tumors in the head and neck region. *In: Kaiser HE* (ed), *Cancer Growth and Progression*. Chapter 2, Vol.7:(ed Brunson KW) *Local Invasion and Spread of Cancer*, Kluwer Academic Publ. 1989, Dordrecht-Lancaster-Boston, p. 17-29.
- 35 Kaiser HE: Secondary primary cancers: An overview. *In: Kaiser HE* (ed), *Cancer Growth and Progression*. Chapter 22, Vol.6: *Etiology of cancer in man* (Levine AS, ed), Kluwer Academic Publishers Dordrecht-Lancaster-Boston, 1989, pp. 200-213.
- 36 Bayer MH, Kaiser HE and Micozzi MS: Abnormal growth processes in plants and animals: A comparison. *In Vivo* 8: 3-15, 1994.
- 37 Kaiser HE: Comparability of Animal and Plant Tissues: The nine principal life functions with associated structures. *In: Kaiser HE* (ed), *Neoplasms - comparative pathology of growth in animals, plants and man*. Williams & Wilkins, Baltimore 1981, pp 89-101.
- 38 Kaiser HE: Intraspecies and interspecies comparison. *In: Kaiser HE* (ed), *Cancer Growth and Progression*. Chapter 4, Vol.1: *Fundamental Aspects of Cancer* (R.H.Goldfarb, ed.), Kluwer Academic Publishers Dordrecht-Lancaster-Boston, 1989, pp.33-34.

Received January 25, 1995

Accepted April 17, 1995